



#2 2152  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: West et al.

Attorney Docket No.: STSNP003

Application No.: 09/823,088

Examiner: Not yet assigned

Filed: March 29, 2001

Group: 2152

Title: METHODS AND APPARATUS FOR  
PROCESSING NETWORK DATA  
TRANSMISSIONS

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on August 10, 2001.

Signed: \_\_\_\_\_

Mia Mitchell-Haynes

**INFORMATION DISCLOSURE STATEMENT WITH STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding PCT application. A copy of the International Search Report which was dated July 13, 2001, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

**Statement under 37 CFR § 1.97(e)**

The undersigned hereby states that the references enclosed herewith were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. (37 CFR 1.97(e)(1))

Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for



By the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. STSNP003).

Respectfully submitted,

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| <b>Form 1449 (Modified)</b><br><br><b>Information Disclosure Statement By Applicant</b><br><br>(Use Several Sheets if Necessary) | Atty Docket No.<br>STSNP003<br>Applicant:<br>West et al.<br>Filing Date<br>3/29/01 | Application No.:<br>09/823,088<br><br>Group<br>2152 |
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### U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date    | Patentee         | Class | Sub-class | Filing Date |
|------------------|-----|------------|---------|------------------|-------|-----------|-------------|
|                  | A1  | 6,128,657  | 10/3/00 | Okanoya et al.   | 709   | 224       | 8/19/96     |
|                  | A2  | 6,118,768  | 9/12/00 | Bhatia et al.    | 370   | 254       | 9/26/97     |
|                  | A3  | 6,085,431  | 5/2/00  | Srisuresh et al. | 709   | 245       | 4/23/98     |
|                  | A4  | 6,061,349  | 5/9/00  | Coile et al.     | 370   | 389       | 5/2/97      |
|                  | A5  | 5,793,763  | 4/11/98 | Mayes et al.     | 370   | 389       | 11/3/95     |
|                  |     |            |         |                  |       |           |             |
|                  |     |            |         |                  |       |           |             |
|                  |     |            |         |                  |       |           |             |
|                  |     |            |         |                  |       |           |             |

### Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  |     |              |                  |                          |       |           |             |    |
|                  |     |              |                  |                          |       |           |             |    |
|                  |     |              |                  |                          |       |           |             |    |
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### Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|--|
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|                  |     |  |
|                  |     |  |
| Examiner         |     | Date Considered  |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.